



Alpha Consulting Group (FP) Pty Ltd

Financial Services Guide

ABN 88 106 799 599 | Corporate Authorised Representative No: 316229

Version number: 24.02

PART TWO – ADVISER PROFILE

This adviser profile is Part Two of the Count Financial Limited (Count) Financial Services Guide (FSG) 1 March 2024 and should be read in conjunction with Part One of our FSG dated 1 March 2024. Together these documents form the complete FSG.

Alpha Consulting Group (FP) Pty Ltd is an Authorised Representative of Count Financial Limited (Count).

The individual(s) listed in this FSG is authorised by Count to provide personal advice through Alpha Consulting Group (FP) Pty Ltd.

Our contact details:

Address: Suite 22, 3-9 Terminus Street, Castle Hill NSW 2154

Phone: 02 8311 9425

Email: wayne@alphaac.com.au

Web: www.alphaac.com.au

Wayne Clark

Authorised Representative Number: 231984

Wayne Clark is an Authorised Representative of Count and a director of Alpha Consulting Group (FP) Pty Ltd, and receives a salary and may also receive dividends and director fees.

Wayne Clark has over 20 years of experience in the provision of financial / accounting advice and financial planning advice. Wayne attained Bachelor degree in Financial Administration from the University of New England on 12 October 2002, Diploma of Business (Accounting) on 01 December 1992, Diploma of Finance and Mortgage Broking Management from the Institute of Strategic Management on 19 September, 2012, Certificate IV in Financial Services (Finance/ Mortgage Broking) on 12 August, 2009, Graduate Diploma of Financial Planning, and Aged Care accreditation.

He is a Chartered Tax Adviser with the Taxation Institute of Australia, a full member of the Mortgage and Finance Association of Australia, and a Fellow of the Institute of Public Accountants.

Financial services and product types

Wayne is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, stocks and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Margin lending, and
- Superannuation.

How to contact me: wayne@alphaac.com.au or 02 8311 9425

Advice preparation and implementation fees

Prior to the provision of personal advice, we will agree upon a preferred payment option and disclose how our fee is calculated. Below is a summary of our available payment options that can be combined to pay for our services. We will provide you with a quote for our services before we undertake any work on your behalf.

These fee options include:

Time based charging

- i) The fee for the preparation and implementation of our advice is calculated based upon the time we spend developing the plan. Our hourly rate is \$385.00 per hour (incl. GST).

Price can vary depending on scope and complexity of the advice and we will provide you with an estimate of the overall cost. If extra charges apply, then we will inform you before proceeding with any work.

Service based charging

- ii) The fee for the preparation and implementation of our advice is calculated based upon a fixed price agreement. This fixed dollar amount will vary based upon the complexity of advice being provided and agreed upon prior to commencement. Our minimum fee for this is \$5,500.00 (incl. GST)

Asset based charging

- iii) The fee for the preparation and implementation of our advice is calculated as a percentage of the dollar amount advised upon. Our minimum fee for this is \$5,500.00 (incl. GST), based on and the maximum fee is \$66,000.00 calculated based upon fee schedule 1 below.

Schedule 1 (investments)

Maximum initial fee*	Investment amount	Average portfolio charge
4.40%	first \$50,000	\$2,200 on \$50,000
2.75%	next \$50,000	\$3,575 on \$100,000
2.20%	next \$100,000	\$5,775 on \$200,000
1.65%	next \$100,000	\$7,425 on \$300,000
1.10%	next \$700,000	\$15,125 on \$1 million
0.55%	over \$1 million	Up to a maximum of \$66,000

* Fees on tax effective products are capped at 4.4% with any excess refunded back to you.

Where we are aware that you are using borrowed funds to invest (including where we have recommended this), borrowed amounts will be excluded for the purpose of calculating fees in Schedule 1 - above.

If you decide not to implement our recommendations, the fee for the preparation of the Statement of Advice will be payable in full.

Supplementary service fees

For supplementary services, such as the provision of general research material or the completion of administrative tasks, our fee will be calculated on a time basis of \$385.00 per hour.

Where you enter into an Ongoing Service Arrangement, Supplementary services are included, and will not be provided at an additional cost.

Ongoing & Fixed Term service fees

Our ongoing advice fees vary depending on scope and complexity and range from \$5,500 to \$66,000 (incl. GST) unless otherwise agreed. The exact cost of the ongoing review service will depend on the review offering we recommend and this will be disclosed within the Ongoing Service Agreement we provide to you.

Should you require any additional services outside of any agreement between you and your adviser, an amount of up to \$385 per hour, may be applied.

Non-advised transaction fees

If we assist you on an execution only basis (ie where you have been offered and declined advice), a fee of up to \$385 will be applicable.

Referral arrangements We do not have a referral arrangement in place.

Other associations and relationships Wayne Clark is director and shareholder of both Alpha Consulting Group (FP) Pty Ltd and Alpha Consulting Group (Sydney) Pty Ltd which are separate entities.

Other third-party payments we may receive We may also receive the following fees, which are not payable by you.

Stamping Fees

Where we facilitate Share Placements and Initial Public Offers in relation to ASX-listed Direct Equities (i.e. Shares - this excludes Listed Investment Companies and Trusts), we may receive up to 1.5% of the transaction value as "stamping fees".

Insurance Commissions

Where we facilitate the acquisition, or modification of a Life Insurance product, we may receive commissions as described in Part 1 of this FSG.

Where we provide advice in relation to the above transactions and charge an advice fee, we may choose to rebate part or all of the third-party payment, to you.
